PTO/SB/21 (02-04)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

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Application Number

10/732,762

Filing Date

December 10, 2003

First Named Inventor

TRANSMITTAL
FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

Filing Date
Filing Date
December 10, 2003
Hauser et al.

Not Yet Assigned
Not Yet Assigned

Attorney Docket Number

36400.71US1

	ENCLOSURES (Check all that appl	(v)			
Fee Transmittal Form Fee Attached Amendment/Reply After Final Affidavits/declaration(s) Extension of Time Request Express Abandonment Request Information Disclosure Statement Certified Copy of Priority Document(s) Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53	Drawing(s) Licensing-related Papers Petition Petition to Convert to a Provisional Application Power of Attorney, Revocation Change of Correspondence Address Terminal Disclaimer Request for Refund CD, Number of CD(s) Remarks	After Allowance Communication to Group Appeal Communication to Board of Appeals and Interferences Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) Proprietary Information Status Letter X Other Enclosure(s) (please Identify below): Forms 1449A/PTO, 1449B/PTO and Return Postcard			
SIGNA	TURE OF APPLICANT, ATTORNEY, (OR AGENT			
Firm	nough; (Reg. No. 33,734); Neal, Ger				
CERTIFICATE OF TRANSMISSION/MAILING					
I hereby certify that this correspondence is b	peing facsimile transmitted to the USPTO or depo	osited with the United States Postal Service with			

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Date

3-10-04

Typed or printed name

Signature



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:	Hauser, et al.)		
Serial No.:	10/732,762)	Examiner:	unassigned
Filed:	December 10, 2003)	Art Unit:	unassigned
Title:	Valve For A Hydraulic Drive Apparatus)	Ref. No.:	36400.71US

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

With regard to the above-identified application, the items of information listed on the enclosed Form PTO/SB/08A and PTO/SB/08B are brought to the attention of the Examiner.

This statement should be considered because it is submitted, pursuant to 37 C.F.R. §1.97(b)(3), within three (3) months of the filing date and before the mailing of a first Office Action. Therefore, no fee is required.

In accordance with the office's recent notice (OG Notices: 05 August 2003) waiving the requirement of filing U.S. patent references, the cited U.S. Patents are not enclosed.

In accordance with 37 C.F.R. §1.104, no representation is made that a reference is "prior art" within the meaning of 35 U.S.C. §§102 and 103 and Applicant reserves the right, pursuant to 37 C.F.R. §1.131 or otherwise, to establish that the reference(s) are

not "prior art." Moreover, Applicant does not represent that a reference has been thoroughly reviewed or that any relevance of any portion of a reference is intended.

Consideration of the items listed is respectfully requested. Pursuant to the provisions of MPEP 609, it is requested that the Examiner return a copy of the attached Form PTO/SB/08A, marked as being considered and initialed by the Examiner, to the undersigned with the next official communication.

Respectfully submitted,

Date: March 10, 2004

Thomas C. McDonough Registration No. 33,734

NEAL, GERBER & EISENBERG LLP 2 North LaSalle Street, Suite 2200 Chicago, Illinois 60602

(312) 269-5282

Certificate of Mailing: The undersigned hereby certifies that this document and its enclosures are being deposited with the United States Postal Service as first class mail, postage prepaid in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this 10th day of March, 2004.

NGEDOCS:036400.0801 969708.1

PTO/SB/08a (05-03)

Approved for use through 04/30/2003. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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Substitute for form 1449A/PTO			Complete if Known			
			Application Number	10/732762		
11	NFORMATION DISC	LOSURE	Filing Date	December 10, 2003		
STATEMENT BY APPLICANT			First Named Inventor	Raymond Hauser		
			Art Unit	Not Yet Assigned		
	(Use as many sheets as nece	essary)	Examiner Name	Not Yet Assigned		
Sheet	1	of 1	Attorney Docket Number	36400.71US1		

			U. S. PATENT	DOCUMENTS	
Examiner Initials*	Cite No. ¹	Document Number Number-Kind Code ^{2 (if known)}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		^{US-} 3,522,999	08/04/1970	Liles	
		^{US-} 4,494,624	01/22/1985	Scheuerle et al.	
		^{US-} 4,915,126	04/10/1990	Gyllinder	
		us- 4,948,092	08/14/1990	Kasper et al.	
		US- 5,333,451	08/02/1994	Sakikawa et al.	
		US- 5,412,948	05/09/1995	Komura et al.	
		^{US-} 5,542,384	08/06/1996	Rosenmann et al.	
\		^{US-} 5,546,752	08/20/1996	Horton et al.	
		us- 5,632,467	05/27/1997	Just et al.	
		US- 5,687,468	11/18/1997	Hans	
		US- 6,045,116	04/04/2000	Wilke et al.	
		US- 6,073,444	06/13/2000	Horton et al.	
		^{US-} 6,079,957	06/27/2000	Jirele	
		US-			· <u> </u>

	FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Foreign Patent Document Country Code 3-Number 4- Kind Code5 (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶	
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Date Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Substitute for form 1449B/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet

Complete if Known				
Application Number	10/732762			
Filing Date	December 10, 2003			
First Named Inventor	Raymond Hauser			
Art Unit	Not Yet Assigned			
Examiner Name	Not Yet Assigned			
Attorney Docket Number	36400.71US1			

		NON PATENT LITERATURE DOCUMENTS					
Examiner Initials*	Cite No. ¹	Tall magazine journal cerial eumpocium estalog etc.) date pago(c) volume iccue numbor(e) publicher eitu					
		CIRCLE SEALS CONTROLS, INC., "100 Series Check Valves 0 to 25 PSIG," brochure, Circle Seals Controls, Inc. (Corona, California), p. 1-2, CIRCLE SEAL CONTROLS, INC., "200 Series 0 to 3,000 PSIG H200 Series 0 to 6,000 PSIG Check Valves," brochure,					
		THE LEE COMPANY, "Lee Cheks," web site information brochure, (March 15, 2002). THE LEE COMPANY, "Lee Pressure Relief Valves How to select the right Relief Valve for your application," Article, (March 15, 2002).					
		KEPNER PRODUCTS COMPANY, "Check Valves," web site product information, (March 15, 2002).					
		KEPNER PRODUCTS COMPANY, "Relief Valves," web site product information, Kepner Products Company,					

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Examiner Signature		 Date Considered	·

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.